BEST AVAILABLE COPY

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 8 March 2001 (08.03.2001)

PCT

(10) International Publication Number WO 01/16614 A1

- (51) International Patent Classification7: G01R 31/36
- (21) International Application Number: PCT/US00/24266
- (22) International Filing Date:

1 September 2000 (01.09.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/388,276

1 September 1999 (01.09.1999) US

- (71) Applicant and
- (72) Inventor: CHAMPLIN, Keith, S. [US/US]; 5437 Elliott Avenue South, Minneapolis, MN 55417 (US).
- (74) Agents: CHAMPLIN, Keith, S. et al.; Westman, Champlin & Kelly, P.A., International Centre Suite 1600, 900 Second Avenue South, Minneapolis, MN 55402-3319 (US).

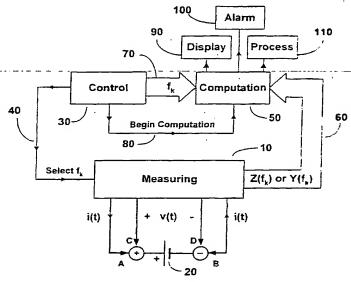
- (81) Designated States inationals: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States irregionali: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- With international search report
- Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR ELECTRONICALLY EVALUATING THE INTERNAL TEMPERATURE OF AN ELECTROCHEMICAL CELL OR BATTERY



(57) Abstract: A testing device applies time-varying electrical excitation to a cell or battery (20) and senses the resulting time-varying electrical response. Computation circuitry (50) within the device uses voltage and current signals derived from the excitation and response signals as inputs and computes values of elements of an equivalent circuit representation of the cell or battery (20). The internal temperature of the cell or battery (20) is calculated from the value of the time constant of a particular parallel G-C subcircuit of the equivalent circuit. The battery's (20) internal temperature is then either displayed to the user, used to apply appropriate temperature corrections to other computed quantities, used to detect thermal runaway, and/or used to control an external process such as charging of the battery (20).

V V1991/10 OA

-1-

METHOD AND APPARATUS FOR ELECTRONICALLY EVALUATING THE INTERNAL TEMPERATURE OF AN ELECTROCHEMICAL CELL OR BATTERY

BACKGROUND OF THE INVENTION

The present invention relates to testing of storage batteries. More specifically, the invention relates to measuring temperature of an electrochemical cell or battery.

5

10

15

25

When testing or evaluating the performance of cells and batteries, it is desirable to accurately know battery temperature in order to apply appropriate temperature corrections to the measured results. example Champlin, in U.S. Patent 3,909,708, describes setting a dial on the tester to the battery's temperature in order to cause the measured dynamic conductance to comport with that of a battery at room temperature. However, exactly how this battery temperature information is to be obtained is not discussed. Others employ a very rough correction by instructing the user to push a button when the ambient temperature is, e.g., "below 0° C". Marino et al., in U.S. Patent 4,423,378 refer to a battery temperature "probe" whose output is inputted to a microprocessor for the purpose of correcting load-test results. Similar temperature probes are described by Alber et al. in U.S. Patent 4,707,795. Other workers have attached thermistors to test clips so that they would be in thermal contact with a battery terminal, or have

placed them in thermal contact with the battery's case. Even infrared techniques have been used to determine battery case temperature.

5

15

All of these prior art techniques have measured either the battery's ambient temperature or its external case temperature. Unfortunately however, these quantities can be very different from the actual internal temperature of the battery -- the truly desired quantity. These temperature differences come about from localized internal heating caused by currents flowing through the battery, from the large thermal mass of the battery, and from the poor thermal contact between the battery and its environment. For example, an automobile engine compartment will warm up rapidly with the engine running. If the battery is cold, however, its internal temperature will remain low for a very long period of time.

SUMMARY OF THE INVENTION

electrical excitation to a cell or battery and senses the resulting time-varying electrical response.

Computation circuitry within the device uses voltage and current signals derived from the excitation and response signals as inputs and computes values of elements of an equivalent circuit representation of the cell or battery. The internal temperature of the cell or battery is calculated from the value of the time constant of a particular parallel G-C subcircuit of the

equivalent circuit. In various aspects, the battery's

internal temperature is then displayed to the user, used to apply appropriate temperature corrections to other computed quantities, used to detect thermal runaway, and/or used to control an external process such as charging of the battery.

BRIEF DESCRIPTION OF THE DRAWINGS

5

10

15

25

FIG. 1 is a block diagram of a device for measuring the internal temperature of an electrochemical cell or battery according to the present invention.

FIG. 2 depicts a six-element small signal equivalent circuit representation of a particular automotive storage battery.

FIG. 3 is a plot of the variation of the three subcircuit time-constants defined in FIG. 2 as functions of the charge removed from the battery.

FIG. 4 is a plot of measured and theoretical values of time constant τ_3 defined in FIG. 2 as functions of the internal temperature of the battery.

FIG. 5 is a plot of the inverse of the relationship plotted in FIG. 4.

FIG. 6 is a circuit representation of the parallel G3-C3 subcircuit showing its admittance Y3.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Clearly, a method and apparatus for electronically determining the true internal temperature of a cell/battery would be of great value. The present invention addresses this need. It is based upon teachings disclosed in pending

10

15

20

A very important application of the method taught herein is in the detection of "thermal runaway" - a phenomenon in which the internal temperature of a battery undergoing charging rises catastrophically (see, e.g., McShane et al., U.S. Patent 5,574,355). Using the technique disclosed below, a condition can be quickly detected by a precipitous internal temperature rise, which, in turn could be used to shut off the charger or reduce its charging voltage. Figure 1 discloses a block diagram of apparatus for evaluating a battery's internal temperature according to the present invention. Apparatus of this type is fully disclosed in pending U.S. Patent Application Ser. No. 09/152,219, filed September 11, 1998 and entitled "METHOD AND APPARATUS MEASURING COMPLEX IMPEDANCE OF CELLS AND Application BATTERIES" and pending U.S. Patent 1998, entitled 09/151,324, filed September 11, APPARATUS FOR DETERMINING BATTERY "METHOD AND PROPERTIES FROM COMPLEX IMPEDANCE ADMITTANCE" which are incorporated herein by reference. Measuring circuitry 10 electrically couples to cell/battery 20 by means of current-carrying contacts A and B and voltage-sensing contacts C and D. Measuring circuitry 10 passes a periodic time-varying current i(t) through contacts A and B and senses a periodic time-varying voltage v(t) across contacts C and D. By appropriately processing and combining i(t) and

v(t), measuring circuitry 10 determines real and

imaginary parts of a complex parameter, either impedance Z or admittance Y, at a measuring frequency f_k ; where f_k is a discrete frequency contained in the periodic waveforms of both i(t) and v(t).

Control circuitry 30 couples to measuring circuitry 10 via command path 40 and commands measuring circuitry 10 to determine the complex parameter of cell/battery 20 at each one of n discrete measuring frequencies, where n is an integer number. This action defines 3n experimental quantities: the values of the n measuring frequencies and the values of the n imaginary parts and n real parts of the complex parameter at the n measuring frequencies.

10

15

20

25

Computation circuitry 50 couples measuring circuitry 10 and to control circuitry 30 via data paths 60 and 70, respectively, and accepts the 2n experimental values from measuring circuitry 10 and the values of the n measuring frequencies from control circuitry 30. Upon a "Begin Computation" command from control circuitry 30 via command path computation circuitry 50 uses algorithms 80, disclosed in U. S. Patent Application 09/151,324 to combine these 3n quantities numerically to evaluate 2n elements of an equivalent circuit representation of the cell/ battery. Computation circuitry 50 then internal temperature of the calculates cell/battery from values of particular elements of this circuit representation. Finally, computation

circuitry 50 outputs the computed result to the user on display 90 and/or uses the result to activate an alarm 100 or to control a process 110 such as a battery charger.

In practice, a microprocessor or microcontroller running an appropriate software program can perform the functions of both control circuitry 30 and computation circuitry 50.

Figure 2 discloses a six-element equivalent 10 circuit representation of a typical automotive storage battery. This circuit representation was evaluated using apparatus of the type disclosed in FIG 1 with n=3 by employing algorithms disclosed in U.S. Patent Application 09/151,324. measurement frequencies were 5 Hz, 70 Hz, and 1000 15 Hz. One notes that the n=3 equivalent circuit comprises three subcircuits:

- A series G1-L1 subcircuit.
- A parallel G2-C2 subcircuit.
- A parallel G3-C3 subcircuit.

_____One_notes_further_that_the_three_subcircuits_ characterized by having very different time The shortest time constant, constants. $\tau_1 = L1 \cdot G1 = 93.5 \mu S$, belongs to the series G1-L1 25 subcircuit. The next longest time constant, $\tau_2 = C2/G2 = 2.22 \text{mS}$, belongs to the parallel subcircuit; the and longest time-constant, $\tau_{\tau} = C3/G3 = 41.6 mS \,,$ belongs to the parallel G3-C3

-7-

subcircuit. Accordingly, the three subcircuits represent quite different physical processes and can be differentiated from one another by their time constants.

5

10

15

20

25

Figure 3 is a logarithmic plot of the three time constants defined above as functions of charge (ampere-hours) removed from the battery. One notes that the three time constants remain widely separated as charge is removed, and that the longest of the three, τ_3 , is nearly independent of state-of-charge. This result is important to the present invention.

Figure 4 discloses the observed variation of $\tau_3 = C3/G3$ internal with battery constant time temperature. One sees that τ_3 varies inversely with This variation is consistent with a temperature. theoretical model that associates the G3-C3 subcircuit with a linearized, small-signal, representation of the nonlinear electrochemical reaction occurring at the negative plates. For such a model, the RC product $\tau_3=C3/G3$ represents the reaction time for the process and therefore varies inversely with temperature. By empirically establishing this relationship between t3 and T, one can actually utilize measurements of τ_3 to determine the battery's internal temperature, T.

Figure 4 shows experimental points compared with a theoretical $\tau_3(T_c)$ relationship. Note that the steepest slope, and hence the most accurate temperature determination, occurs in the most interesting region

PCT/US00/24266

5

10

20

between -20° C and $+20^{\circ}$ C. The theoretical curve disclosed in FIG. 4 is a plot of the following equation:

$$\tau_{3}(T_{c}) = K_{3} + \frac{1}{\frac{1}{K_{2}} + \frac{1}{K_{1} \exp\{qV_{0}/k(T_{c} + 273^{\circ})\}}}$$
(1)

where τ_3 is the time constant measured in milliseconds and T_c is the internal temperature measured in degrees Celsius. Physical parameters introduced in this equation are:

 $k = 1.38 \times 10^{-23}$ Joules/° K (Boltzman's Constant) $q = 1.6 \times 10^{-19}$ Coulombs (electronic charge) $V_0 = 0.85$ eV (activation energy)

15 The three constants $K_1,\ K_2,\ \mbox{and}\ K_3$ were empirically determined to be

$$K_1 = 2.0 \text{ x} 10^{-14}$$

 $K_2 = 67.0 \text{ mS}$

 $--K_3 = -37.0 \text{-mS}$

One notes excellent agreement between theory and experiment. Measurements show that τ_3 is virtually independent of battery size and state-of-charge (see FIG. 3). Thus, this empirical $\tau_3(T_c)$ relationship plotted in FIG. 4 appears to be quite universal.

In order to determine internal temperature from time constant measurements, one must

-9-

mathematically invert the above $\tau_3(T_c)$ relationship to obtain a $T_c(\tau_3)$ relationship. The result is:

$$T_{c}(\tau_{3}) = \frac{(qV_{0}/k)}{\ln\left\{\frac{(K_{2}/K_{1})(\tau_{3} - K_{3})}{(K_{2} + K_{3} - \tau_{3})}\right\}} - 273^{\circ}$$
(2)

5

15

where the parameters and constants, q, V_0 , k, K_1 , K_2 , K_3 , are the same as those introduced in the $\tau_3(T_c)$ relationship.

The inverse theoretical $T_c(\tau_3)$ curve is plotted in FIG. 5. By employing this relationship, one can readily determine the battery's true <u>internal</u> temperature from measurements of τ_3 . This important temperature information can then be used to apply accurate temperature corrections to other measured quantities, such as CCA, state-of-charge, and amp-hour capacity. It can also be used to detect a thermal runaway condition, and to control an external process such as a battery charger.

This completes the disclosure of my invention. Figures 6, however, will place the true 20 nature of the invention in greater perspective. Figure 6 illustrates the G3-C3 subcircuit and shows that the complex admittance of this parallel subcircuit, $Y3 = G3 + j\omega C3$, explicitly contains the two quantities, G3 and C3, necessary to determine the battery's internal temperature. Thus, my discussion above actually discloses a relationship existing between the

PCT/US00/24266 -WO 01/16614

-10-

and imaginary parts of Y3 and the internal temperature of the battery. Although it is true that complex Z and complex Y are reciprocals of one another, no simple relationship exists between the real and imaginary parts of impedance Z3 and time constant τ_3 . Accordingly, the results of any ac measurement must be expressed in complex admittance form - not complex impedance form - in order to observe the important relationship that I have disclosed herein. How this complex admittance is obtained, however, is relatively unimportant.

Although my disclosure has relied upon apparatus and algorithms previously particular in U.S. Patent Applications Ser. No. disclosed 15 09/152,219 and Ser. No. 09/151,324, other methods will be apparent to one skilled in the arts. For example, one can employ bridges or other types of apparatus to measure complex admittance (or its reciprocal, complex impedance). Furthermore, if accuracy is not a strict requirement, one can take advantage of the fact that - the -various - time - constants - are - widely - separated - from one another and simply assume that the subcircuits are not coupled. Within this approximation, C2 and C3 are treated short circuits at frequencies near as $f_{01} = 1/2\pi\tau_1$, L1 and C3 are treated as short circuits at frequencies near $f_{02}=1/2\pi\tau_2$, and at frequencies near $f_{03} = 1/2\pi\tau_3$, L1 is treated as a short circuit while C2 is treated as an open circuit. Thus, with some batteries,

20

-11-

it is possible to obtain satisfactory results from a very simple analysis of measurements at two or three frequencies. With certain batteries, it is even possible to obtain useful approximations to Y3 from measurements of complex Y or Z = 1/Y obtained at a single, appropriately chosen, frequency. Workers skilled in the art will recognize that these and other variations may be made in form and detail without departing from the true spirit and scope of my invention.

10

-12-

WHAT IS CLAIMED IS:

1. Apparatus for determining the internal temperature of an electrochemical cell or battery comprising:

electrical excitation circuitry adapted to apply time-varying electrical excitation to said cell or battery;

response sensing circuitry configured to sense time-varying electrical response of said cell or battery generated as a result of said time-varying electrical excitation; and

computation circuitry responsive to said time-varying excitation and to said time-varying response and adapted to calculate the time constant of a particular parallel G-C subcircuit comprising part of an electrical circuit representation of said cell or battery, said time constant

characterized by varying inversely with internal temperature, said computation circuitry further adapted to evaluate said internal temperature from the computed value of said time constant.

- 2. The apparatus of claim 1 including a display device coupled to said computation circuitry and wherein the computation circuitry provides a temperature output on the display.
- 3. The apparatus of claim 1 wherein said computation circuitry couples to an external process device and said external process device is controlled by said computation circuitry in accordance with the computed value of said internal temperature.
- 4. The apparatus of claim 1 wherein said electrical circuit representation comprises at least three subcircuits having differing time constants and the time constant of said particular parallel subcircuit is longer than the time constants of at least two other subcircuits.
- 5. The apparatus of claim 1 wherein said electrical circuit representation comprises at least two subcircuits having different time constants and the time constant of said particular parallel subcircuit is longer than the time constant of at least one other subcircuit.
- The apparatus of claim 1 including an output indicative of detect a thermal runaway condition, said condition characterized by a rapid rise in internal temperature while charging of the battery.
- 7. The apparatus of claim 6 including an alarm coupled to said computation circuitry and wherein the

-14-

computation circuitry provides an alarm output in response to said thermal runaway condition.

- 8. The apparatus of claim 3 wherein said external process device is a battery charger.
- 9. A method for electronically evaluating the internal temperature an electrochemical cell or battery comprising:

applying time-varying electrical excitation to said cell or battery;

sensing time-varying electrical response to said electrical excitation;

evaluating the time constant of a particular parallel G-C subcircuit of an equivalent circuit representation of said cell or battery from said time-varying electrical excitation and said time-varying electrical

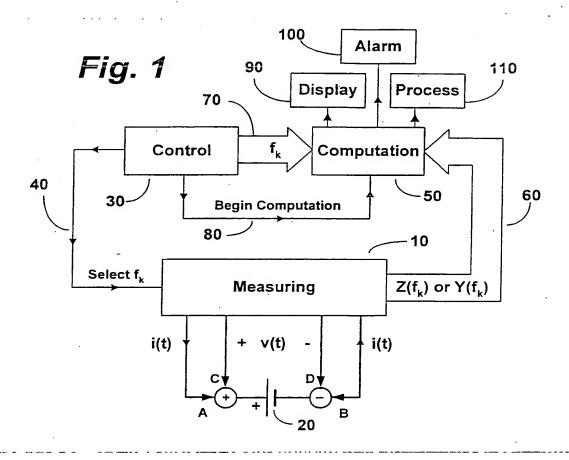
response; and

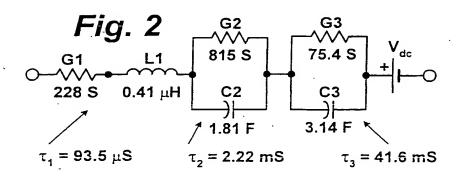
evaluating said internal temperature from the value of said time constant.

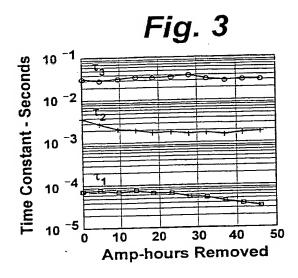
10. The method of claim 9 including the displaying said internal temperature to a user.

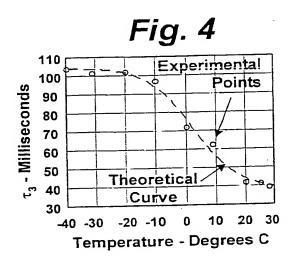
-15-

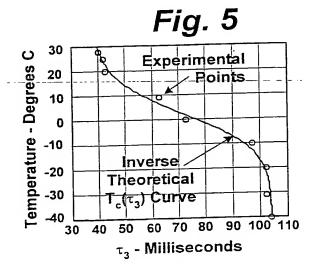
11. The method of claim 9 including the controlling an external process device in accordance with said internal temperature.

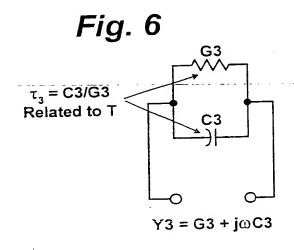












INTERNATIONAL SEARCH REPORT

Intern: al Application No PCT/US 00/24266

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01R31/36						
According	to International Patent Classification (IPC) or to both national cla	assification and IPC				
IPC 7	locumentation searched (classification system followed by class GO1R .	ification symbols)				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)						
According to International Patent Classification (PC) or to both national classification and IPC B. PELDS SEARCHED INTERNATION OF GOTR INTERNATION OF GOTR Documentation searched other than minimum documentation to the extent that such documents are included in the felets searched Electronic data base consulted during the International search (name of cata base and, where practical, search terms used) EPO—Internal, WPI Data, PAJ C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages PATENT ABSTRACTS OF JAPAN vol. 0.09, no. 0.85 (E-308), 13 April 1985 (1985-04-13) 8, JP 59 215674 A (SANYO DEMKI KK), 5 December 1984 (1984-12-05) abstract A PATENT ABSTRACTS OF JAPAN vol. 0.09, no. 0.85 (E-308), 13 April 1995 (1985-04-13) 8, JP 59 215674 A (SANYO DEMKI KK), 5 December 1984 (1984-12-05) abstract A US 5 574 355 A (MCSHANE STEPHEN J ET AL) 1, 9 12 November 1996 (1996-11-12) column 2, 11ne 59 -column 5, 11ne 6; Further documents are listed in the continuation of box C. Special categories of citied documents: "A document defining the general state of the an which is not considered to be of particular relevance." "A document defining the general state of the an which is not considered to be of particular relevance or after the international value of the column of the col						
			· · · · · · · · · · · · · · · · · · ·			
Category °	Citation of document, with indication, where appropriate, of the	ne relevant passages	Relevant to claim No.			
Α	vol. 009, no. 085 (E-308), 13 April 1985 (1985-04-13) & JP 59 215674 A (SANYO DENKI 5 December 1984 (1984-12-05)	KK),	1,9			
A	12 November 1996 (1996-11-12) column 2, line 59 -column 5, l	-	1,9			
			-			
<u> </u>	inther documents are listed in the continuation of box C. X Patent family members are listed in annex.					
 Special cate 	"T" later document published after the international filing date					
	document defining the general state of the art which is not cited to understand the principle or theory underlying the					
considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention						
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified). "Cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone which is cited to establish the publication date of another citation or other special reason (as specified). "Y" document of particular relevance; the claimed invention						
L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O* document referring to an oral disclosure, use, exhibition or other means L** document of particular relevance: the claimed invention cannot be considered to reason to a comment of particular relevance: the claimed invention cannot be considered to reason to a comment is taken alone occurrent is taken alone occurrent is comment of particular relevance: the claimed invention cannot be considered town.						
'P' document published prior to the international filing date but in the art.						
Date of the ac	pate of the actual completion of the international search. Date of mailing of the international search report					
19	December 2000	29/12/2000				
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2		Authorized officer				
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Hijazi, A				
		,	J			

INTERNATIONAL SEARCH REPORT

Information on patent family members

Interna ial Application No PCT/US 00/24266

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 59215674 A	05-12-1984	NONE	
US 5574355 A	12-11-1996	AU 5363496 A WO 9629773 A	08-10-1996 26-09-1996

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

□ OTHER:

THIS PAGE BLANK (USPTO)